Professional Development Reading Pack

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Urban governance in fragile cities

Robert Muggah July 2016

The planet is urbanising at a rapid pace. There is not agreed definition of what constitutes a city, however the world's new geography includes dozens of super cities (population of 40 million+), hyper-cities (population of at least 20 million), conurbations and more. While a number of the world's largest cities still reside in North America and Western Europe, most future population growth will occur in sprawling cities and slums of Africa and Asia. There are tremendous opportunities and threats, with major implications for the humanitarian and development sector (Muggah 2012).

Fragile cities are not confined to fragile states or conflict-affected countries. All cities exhibit some degree of institutional fragility; however the extent of their fragility varies considerably. In some cities, such as Aleppo, Bangui, and Caracas, urban institutions are stretched to breaking point. Cities like Abuja, Indore and Nairobi are also at risk — albeit to a different degree. Even comparatively well-developed and planned cities such as Accra, Johannesburg, and Islamabad are not immune.

City fragility occurs when municipal authorities and their institutions are unable, or unwilling, to deliver basic services to urban residents (Muggah 2015). It is triggered by the rupture of a municipal region's social contract. Cities become fragile when the legitimacy, authority and capacity of its institutions can no longer adequately fulfill core functions such as the guarantee of security and safety for citizens, property and infrastructure, access to water, electricity, and sanitation and the preservation of basic norms and rights. In such situations, cities can no longer sustain public goods and citizens resort to their own devices to satisfy their needs and preferences. In such circumstances residents may create parallel or hybrid systems of governance, order and security.



Dr. Robert Muggah is a security and development specialist and oversees research at the Igarapé Institute. He is affiliated with the Instituto de Relações Internacionais in Rio de Janeiro, the University of Oxford and the Center for Conflict, Development and Peace in Switzerland. Robert has extensive experience overseeing large-scale research projects in more than 50 countries and advises the Inter-American Development Bank, the United Nations and the World Bank on issues of public security and aid policy. He also oversees projects with research centres around the world on urban violence, resilience, peace support operations, organized crime and digital humanitarianism, and cyber-warfare. In addition to co-founding and directing research and policy at the SecDev Foundation in Canada, Robert also oversees the Stability Journal. Prior to joining Igarapé, he was the research director of the Small Arms Survey (2000-2011) in Switzerland.

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Fragile cities exhibit complex hybrid governance structures. They do not necessarily lack state presence, nor are they "ungoverned". Rather, they are key arenas of overlapping forms of order and contestation involving state-led stabilisation, pacification and social control, as well as non-state criminal, gang, militia and vigilante rule (Kilcullen 2012). The various forms of violence that emerge in fragile cities often exhibit social functions (Rodgers 2015).

Fragile cities are especially susceptible to (or experiencing) a rapid deterioration of core functions when exposed to a combination of internal and external risks that similar undermine federal and state-level institutions. These include: concentrated disadvantage, social and income inequality, youth unemployment, police and judicial impunity, exposure to sudden onset natural hazards – including floods and earthquakes, rapid inflows of displaced populations and sudden price shocks.

Recent studies have examined the geographic and temporal distribution of fragility in cities. For example, a new data visualisation (Citiscope February 2016) tracks risks in over 2,100 cities with populations of 250,000 people or more. It finds that city fragility does not appear to be restricted to poor developing countries, and also demonstrates that those that are medium-sized and fast growing appears to be most at risk.

Cities will determine future progress in achieving stability and development. Yet we know alarmingly little about what is going on in them, especially those that are fragile. Given that making cities more inclusive, safe and resilient is the focus of Sustainable Development Goal 11, this is worrying. There is much that cities around the world can learn and share when it comes to exiting fragility and investing in resilience (Kilcullen 2012; Muggah 2012).

The good news is that city fragility is not permanent. There are remarkable examples of fragile cities – including Ciudad Juarez, Bogota and Medellin - turning things around (NPR 2016). How do they do this? They start with a clear plan and an enlightened leadership, especially successive mayors that make a plan and stick to it. This requires brokering positive agreements between national, state and municipal authorities, but also positive partnerships with the private sector. The best cases involve public authorities that commit to data-driven solutions, evidence-based approaches, and problemoriented strategies. A number of inter-city networks, such as Cities, Local and Regional Governments (UCLG), the newly established Strong Cities Network, and a new Global Alliance for Urban Crises have also emerged to facilitate sharing of lessons and strengthen partnerships, though these are still comparatively limited in Africa and Asia.

While there is no silver bullet, there are several strategies that help enhance resilience in cities experiencing fragility. Important gains can be seen in cities that have purposefully built inclusive public spaces, broken down barriers between wealthy and poorer areas, supported predictable transport and access to basic services, invested in hot-spot policing and focused deterrence, and created meaningful opportunities for young people. City authorities that develop municipal natural disaster mitigation plans, upgrade zoning and building codes (and foster mixed commercial and residential housing), and cultivate new technologies to improve emergency response also do well. The key is to recognise that, as first and last responders, local populations are the most likely to enable the shift from fragility to resilience (McIlwaine 2013).

Key readings

Reading 1: Beall, J.; Goodfellow, T. & Rodgers, D. (2011). *Cities, conflict and state fragility.* Working paper 85. London: LSE Crisis States Research Centre.

http://eprints.lse.ac.uk/39766/1/Cities,_conflict_and_state_fragility_wp852(author).pdf

Reading 2: Kilcullen, D. (2012). The city as a system: Future conflict and urban resilience. *Fletcher Forum* 36 (2).

http://www.fletcherforum.org/wp-content/uploads/2012/09/Kilcullen.pdf

Reading 3: McIlwaine, C. (2013). Urbanisation and gender-based violence: exploring the paradoxes in the global South. *Environment and Urbanization* 25 (1): 65-79.

http://eau.sagepub.com/content/25/1/65.full.pdf

Reading 4: Moser, C. (2012). *Understanding the tipping point of urban conflict: violence, cities and poverty reduction in the developing world*. Working paper 2. Manchester, UK: Global Urban Research Centre.

http://www.alnap.org/pool/files/wp2-march2012.pdf

Reading 5: Muggah, R. (2015). A manifesto for the fragile city. *Journal of International Affairs* 68 (2), 19 – 36.

http://www.gsdrc.org/document-library/a-manifesto-for-the-fragile-city/

Reading 6: Muggah, R. (2012). *Researching the urban dilemma: Urbanisation, poverty and violence.* Ottawa: IDRC/DFID.

https://www.idrc.ca/sites/default/files/sp/Images/Researching-the-Urban-Dilemma-Baseline-study.pdf

See also:

NPR (2016). *Robert Muggah's TED Talk: How are some fragile cities tackling their worst problems?* http://www.npr.org/2016/01/08/462282897/how-are-some-fragile-cities-tackling-their-worst-problems

Citiscope. (February 2016). New research ranks world's most fragile cities. http://citiscope.org/citisignals/2016/new-research-ranks-worlds-most-fragile-cities

Questions to guide reading

- What are the most important risk factors that contribute to fragility in cities and how can these be mitigated?
- Which innovations to address fragility in one city can be replicated in others and how can these be scaled?
- Is there a role for global networks of cities to promote positive practice in reducing fragility risks?
- How can cities identify sustainable financing for minimizing fragility and building resilience?
- Can urban resilience be fostered? If so, how?

Further reading

Avis, W. (forthcoming). *Urban governance. GSDRC Topic Guide.* Birmingham, UK: GSDRC, University of Birmingham.

Gupte, J. and Commins, S. (2016). *Cities, violence and order: Challenges and complex taxonomy of security provision in cities of tomorrow.* IDS Evidence Report 175. Brighton, UK: Institute of Development Studies. http://www.ids.ac.uk/publication/cities-violence-and-order-the-challenges-and-complex-taxonomy-of-security-provision-in-cities-of-tomorrow

Hariss, M. et al. (2014). *Megacities and the United States army: Preparing for a complex and uncertain future.* Washington D.C.: U.S. Army.

https://www.army.mil/e2/c/downloads/351235.pdf

Muggah, R. (2014). Deconstructing the fragile city: Exploring insecurity, violence and resilience. *Environment and Urbanization* 26 (2), 1 - 14.

http://www.appg-

popdevrh.org.uk/Deconstructing%20the%20fragile%20city%20exploring%20insecurity,%20violence%20and%20resilience.pdf

Rodgers, D. and O'Neill, B. (2012) Infrastructural violence: Introduction to the special issue. *Ethnography* (13) 4, 401 – 412.

http://eth.sagepub.com/content/13/4/401.abstract

UN-Habitat. (2002). *Urban safety and good governance: The role of the police.* Nairobi: UN-Habitat. http://unhabitat.org/books/urban-safety-and-good-governance-the-role-of-the-police